

**2006 Part D Plan Characteristics File Data Dictionary  
'Plan File'**

Variable Sequence	Short SAS Name	Long SAS Name	Variable Label	Data Type	Data Length	Description	Possible Values	Value Description	Notes
1	CNTRCTID	contract_id	Contract ID	Char	5	The encrypted, unique number CMS assigns to each contract that a Part D plan has with CMS.			
2	PLAN_ID	plan_id	Plan ID	Char	3	The encrypted, unique number CMS assigns to identify a specific Part D plan benefit package within a contract.			
3	FRMLY_ID	formulary_id	Formulary Identifier	Char	8	Medicare Part D formulary identifier. This field is a key that links of Part D sponsor's formulary file to their contract and plan identifiers.			
4	ORG_TYPE	organization_type	Organization Type	Char	2	Type of organization for the contract.	1	Local CCP	
							4	PFFS	
							5	Demo	
							6	1876 Cost	
							8	National PACE	
							10	PDP	
							11	Regional CCP	
							12	Fallback	
							13	Employer/Union Only Direct Contract PDP	
							14	Employer/Union Only Direct Contract PFFS	
							15	RFB - Local CCP	
5	PLN_TYPE	plan_type	Plan Type	Char	2	Type of Part D plan.	01	HMO	
							02	HMOPPOS	
							04	PPO	
							05	PSO (State License)	
							06	PSO (Federal Waiver of State License)	
							07	MSA	
							09	PFFS	
							10	SHMO	
							18	1876 Cost	
							20	National PACE	
							29	Medicare Prescription Drug Plan	
							30	Employer Sponsored PDP	
							31	Regional PPO	
							32	Fallback	
							33	MN Disability Health Options	
							34	MN Senior Health Options	
							35	WI Partnership Program	
							36	MA Health Senior Care Options	
							37	Continuing Care Retirement Community	
							38	ESRD I	
							39	ESRD II	
							40	Employer/Union Only Direct Contract PFFS	
							41	MSA Demo	
							42	RFB HMO	
							43	RFB HMOPPOS	
							44	RFB Local PPO	
							45	RFB PSO (State License)	
6	DMO_TYPE	demo_type	Demo Type	Char	1	This variable describes the type of Part D demonstration organization for contracts that are offered as such.	0	Non-Demo	
							1	CCRC Demo	
							2	ESRDII Demo	
							3	MA Health Senior Care Options	
							4	Minnesota Disability Health Options	
							5	Minnesota Senior Health Options	
							6	SHMO	
							7	SHMO II	

**2006 Part D Plan Characteristics File Data Dictionary  
'Plan File'**

						8	Wisconsin Partnership Program	
7	RSNDMOTP	reinsurance_demo_type	Part D Reinsurance Demo Type	Char	1		This variable describes the type of Part D payment reinsurance demonstration, for plan benefit packages that are offered as such.	
						0	Non-Payment Demo	
						1	Flexible capitated option	
						2	Fixed capitated option	
						3	Flexible MA rebate option	
8	SNP_TYPE	snp_type	SNP Type	Char	1		This variable describes the type of special needs plan (SNP) for Part D plan benefit packages that are offered as such.	
						0	Non-SNP	
						C	Chronic or Disabling Condition	
						D	Dual-Eligible	
						I	Institutional	
9	DRGBENTP	drug_benefit_type	Drug Benefit Type	Char	1		Indicates the type of Part D benefit structure used by the plan benefit package (Defined Standard, Actuarially Equivalent, Basic Alternative, or Enhanced Alternative).	
						1	Defined Standard Benefit	
						2	Actuarially Equivalent Standard	
						3	Basic Alternative	
						4	Enhanced Alternative	
10	EGWP_IND	egwp_indicator	EGWP Indicator	Char	1		Indicates whether the Part D plan benefit package is an employer group waiver plan (EGWP). EGWP plan are not open to general enrollment but rather are offered to beneficiaries through an employer group.	
						N	No	
						Y	Yes	
						9	Not Applicable	
11	EGHP_FLG	eghp_calendar_year_flag	EGHP Calendar Year Indicator	Char	1		Indicates if an employer group plan is defined as calendar year or non-calendar year.	
						Y	Yes	
						N	No	
						9	Not Applicable	
12	COV_CRIT	cov_criteria	Coverage Criteria (A/B or B only)	Char	1		Part B only waiver. An indicator that shows that the requirement for Part A entitlement is waived; beneficiaries with only Part B entitlement may enroll in the Part D plan benefit package.	
						1	Part A/B	
						2	Part B Only	
						9	Not Applicable	
13	NAT_PDP	national_pdp	Indicates whether plan belongs to a National PDP sponsor	Char	1		Indicates that the Part D plan benefit package is a stand-alone Prescription Drug Plan (PDP) offered by a national Part D sponsor.	
						N	No	
						Y	Yes	
						9	Not Applicable	
14	GAPCOVTP	gap_coverage_type	Type of Gap Coverage offered	Char	1		Indicates that the plan benefit package offers some form of extra coverage in the coverage gap.	
						N	No Gap Coverage	
						G	Generics	
						B	Generics and Brands	
						9	Not Applicable	
15	DED_APP	ded_apply	How Deductible is applied	Char	1		Indicates whether plan charges the Medicare-defined Part D deductible amount.	
						1	Medicare-defined amount	
						2	Plan-defined amount	
						3	No deductible	
16	DED_AMT	ded_amt	Deductible Amount	Num	12		Dollar amount of Part D deductible charged by plan.	
17	PREICLAP	pre_icl_apply	How Pre-ICL cost shares are applied	Char	1		Indicates how plan charges cost sharing before the Initial Coverage Limit (ICL) is reached.	*2006 value definitions are different than 2007 value definitions.
						1	25% coinsurance amount	

**2006 Part D Plan Characteristics File Data Dictionary  
'Plan File'**

						2	One or more groups of cost sharing	
						3	No cost sharing	
18	ICL_APP	icl_apply	How ICL is applied	Char	1		Indicates whether plan applies the Medicare-defined Part D Initial Coverage Limit (ICL) Amount.	
						1	Medicare-defined amount	
						2	Plan-defined amount	
						3	No ICL	
19	ICL_AMT	icl_amt	ICL Amount	Num	12		Dollar amount of Initial Coverage Limit (ICL) applied by Part D plan. If no ICL is applied this field is blank.	
20	PST_OOPT	post_OOPT_apply	How Post OOP threshold cost shares are applied	Char	1		Indicates how plan applies cost sharing beyond the Medicare-defined Part D Annual Out-of-Pocket Cost Threshold (i.e., in catastrophic coverage phase). (Fixed Capitated Reinsurance Demos: How cost sharing is applied after the Medicare-defined total drug spending amount.)	*2006 values definitions are different than 2007 value definitions.
						1	> \$2 generic or \$5 preferred or 5% copay	
						2	One or more groups of cost sharing	
						3	No cost sharing	
21	OOPT_AMT	OOPT_amt	OOP Threshold Amount	Num	12		Dollar amount of the Medicare-defined Part D Annual Out-of-Pocket Cost Threshold. This field is blank for Fixed Capitated Reinsurance Demos.	
22-29	PICLDT01-PICLDT08	Pre_ICL_drug_type_Tier_1 - Pre_ICL_drug_type_Tier_8	Type of Drugs on Pre-ICL Tier 1 - 8	Char	3		Indicates the type of drug (e.g., "Generic", "Brand", etc.) included on Part D formulary tier 1 through tier 8 for the pre-initial coverage phase. A tier may include multiple drug types.	*2006 values are different than 2007 values.
						001	Brand	
						010	Generic	
						011	Generic and Brand	
						100	Preferred Brand	
						101	Brand and Preferred Brand	
						110	Generic and Preferred Brand	
						111	Generic, Brand, and Preferred Brand	
						999	Not Applicable	
30-37	PICLTL01-PICLTL08	Pre_ICL_tier_label_Tier_1 - Pre_ICL_tier_label_Tier_8	Label of Pre-ICL Tier 1 - 8	Char	2		Text label selected by the Part D sponsor that describes Tier 1 through Tier 8.	
						00	No Label	
						01	Formulary Generic	
						02	Formulary Preferred Brand	
						03	Formulary Brand	
						04	Non-Formulary Generic	
						05	Non-Formulary Brand	
						06	Generic	
						07	Preferred Brand	
						08	Brand	
						09	Tier 1	
						10	Tier 2	
						11	Tier 3	
						12	Tier 4	
						13	Tier 5	
						14	Tier 6	
						15	Tier 7	
						16	Tier 8	
						17	Tier 9	
						18	Tier 10	
38-45	PIPP1P01-PIPP1P08	Pre_ICL_inpp_1m_COPAY_Tier_1 - Pre_ICL_inpp_1m_COPAY_Tier_8	Tier 1 - 8 Pre-ICL In-Network Pharmacy Copay Amt. one month supply	Num	12		Dollar amount of pre-initial coverage limit in-network pharmacy copay amount for 1 month for Tier 1 through Tier 8.	
46-53	PIPP1N01-PIPP1N08	Pre_ICL_inpp_1m_COINS_Tier_1 - Pre_ICL_inpp_1m_COINS_Tier_8	Tier 1 - 8 Pre-ICL In-Network Pharmacy Coinsurance one month supply	Num	12		Pre-initial coverage limit in-network pharmacy co-insurance percentage for 1 month for Tier 1 through Tier 8.	